

ANNEX 1

Declaration of interests (DOI) form for individuals applying to be appointed as members of the Performance Review Body

Definitions:

"Conflict of interest" means any situation where an individual has an interest that may compromise or be reasonably perceived to compromise the individual's capacity to act independently and in the public interest when providing advice to the European Commission in relation to the subject of the work performed by the expert group or sub-group in question.

"Immediate family member" means the individual's spouse, children and parents. "Spouse" includes a partner with whom the individual has a registered non marital regime. "Children" means the child(ren) the individual and the spouse have in common, the own child(ren) of the individual and the own child(ren) of the spouse.

"Legal entity" means any commercial business, industry association, consultancy, research institution or other enterprise whose funding is significantly derived from commercial sources. It also includes independent own commercial businesses, law offices, consultancies or similar.

"Body" means a governmental, international or non-profit organisation.

"Meeting" includes a series or cycle of meetings.

Please answer each of the questions below. If the answer to any of the questions is "yes", please briefly describe relevant interests and circumstances, as appropriate.

If you do not describe relevant interests, your DOI form will be considered incomplete and, therefore, your application to be appointed as a member of an expert group or sub-group in a personal capacity shall be rejected.

First name: Ulrich

Family name: Schulte-Strathaus

Expert group/sub-group: sub-group 2

1 EMPLOYMENT CONSULTANCY AND LEGAL REPRESENTATION

	<i>Within the past 5 years, have you been employed or had any other professional relationship with a natural or legal entity, or held any non-remunerated post in a legal entity or other body with an economic interest in the field of activity of the Performance Review Body?</i>		yes	no
1a	Employment		<input type="checkbox"/>	<input checked="" type="checkbox"/>
1b	Consultancy, including services as an advisor		<input checked="" type="checkbox"/>	<input type="checkbox"/>
1c	Non-remunerated post		<input type="checkbox"/>	<input checked="" type="checkbox"/>
1d	Legal representation		<input type="checkbox"/>	<input checked="" type="checkbox"/>
Activity	Time period (from... until month/year)	Name of entity or body	Description	
Freelance	2020 - ongoing	Agora Strategy Group AG	Provide advice on aviation issues	

2 MEMBERSHIP OF MANAGING BODY, CONSULTATIVE AND ADVISORY BODY OR EQUIVALENT STRUCTURE

	<i>Within the past 5 years, have you participated in the internal decision-making of a legal entity or other body or have you participated with voting rights in the outputs of that entity in the work of consultative and advisory bodies of organisations with an interest in the field of activity of the Performance Review Body?</i>		yes	no
2a	Participation in a decision-making process		<input type="checkbox"/>	<input checked="" type="checkbox"/>
2b	Participation in the work of consultative and advisory bodies		<input type="checkbox"/>	<input checked="" type="checkbox"/>
Activity	Time period (from... until month/year)	Name of legal entity or body	Description	

3 RESEARCH SUPPORT

	<i>Within the past 5 years, have you, or the research entity to which you belong, received any support from a legal entity or other body with an interest in the field of activity of the Performance Review Body?</i>		yes	no
3a	Research support, including grants, rents, sponsorships, fellowships, non-monetary support		<input type="checkbox"/>	<input checked="" type="checkbox"/>
Activity	Time period (from... until month/year)	Name of legal entity or body	Description	

4 FINANCIAL INTERESTS

	<i>Do you have any current investments in a legal entity with an interest in the field of activity of the Performance Review Body, including stocks and shares, exceeding EUR 10,000 per legal entity or entitling you to a voting right of 5% or more in such a legal entity?</i>	yes	no
4a	Shares	<input type="checkbox"/>	<input checked="" type="checkbox"/>
4b	Other stock	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Investment	Name of legal entity	Description	

5 INTELLECTUAL PROPERTY

	<i>Do you have any intellectual property rights that might be affected by the outcome of the work carried out by the Performance Review Body?</i>	yes	no
5a	Patent, trademarks or copyrights	<input type="checkbox"/>	<input checked="" type="checkbox"/>
5b	Others	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Intellectual property	Description		

6 PUBLIC STATEMENTS AND POSITIONS

	<i>Within the past 5 years, have you provided any expert opinions or testimonies in the field of activity of the Performance Review Body for a legal entity or other body as part of a regulatory, legislative or judicial process? Have you held an office or other position, paid or unpaid, in which you represented interests or defended an opinion in the field of activity of the Performance Review Body?</i>		yes	no
6a	For a legal entity or other body as part of a regulatory, legislative or judicial process		<input checked="" type="checkbox"/>	<input type="checkbox"/>
6b	Represented interests or defended an opinion		<input type="checkbox"/>	<input type="checkbox"/>
Activity	Time period (from... until month/year)	Name of legal entity or body	Description	
Expert	2012 - ongoing	Economic and Social Affairs Committee (EESC)	Drafted Opinions for Members of the EESC	

7 INTERESTS OF IMMEDIATE FAMILY MEMBERS

yes no

7a	To your knowledge, do any of your immediate family members have any interests which could be seen as undermining your independence when advising the European Commission in the field of activity of the Performance Review Body?		<input type="checkbox"/>	x <input type="checkbox"/>
Interests	Time period (from... until month/year)	Name of legal entity or body	Description	
7b	If you declare any of the interests of your immediate family members, it is your responsibility to inform them about the collection and publication of information on their interests included in the DOI and to provide them with the privacy statement attached to the guidance for completing this DOI. This must be done at the latest when you submit the DOI form to the Commission.			

8 OTHER RELEVANT INFORMATION

8a	Are there any other issues or matters that could be seen as undermining your independence when advising the Commission in the field of activity of the Performance Review Body?	yes <input type="checkbox"/>	no x <input type="checkbox"/>
<u>Description:</u>			

I hereby declare on my honour that I have read the guidance on completing this form. I also declare on my honour that the information disclosed in this form is true and complete to the best of my knowledge.

Should there be any change to the above information as regards upcoming activities or other matters, I will promptly notify the Commission department responsible and complete a new DOI form so as to describe the changes in question.

I have been informed that my personal data are stored, processed and published by the Commission in accordance with Regulation (EC) No 45/2001.

Date: 26.1.2022

Signature: *Merich Penne-Isaksson*

Your DOI form will be made publicly available for as long as you hold a position as a member of the Performance Review Body. Technical measures will be taken to indicate to search engines that your DOI form should not appear in search results.

ANNEX 2

Guidance for filling in the declaration of interests (DOI) form by individuals applying to be appointed as members of expert groups or sub-groups in a personal capacity

In order to ensure the highest integrity of experts, you are requested to duly complete the DOI form. You are required to disclose any circumstances that could give rise to a conflict of interest, i.e. any situation where your interests may compromise or may reasonably be perceived to compromise your capacity to act independently and in the public interest in providing advice to the European Commission, in relation to the subject of the work performed by the Performance Review Body. In particular, you must disclose in this DOI form any relevant professional and financial interests.

You must also declare relevant interests of your immediate family members. If interests of your immediate family members are declared, it is your responsibility to inform them about the collection and publication of information on their interests included in this DOI form and to provide them with the privacy statement attached to this guidance, and this at the latest when you file the DOI form with the European Commission.

Please submit the completed DOI form to the competent European Commission department, together with your CV, as part of your application to become member of an expert group or sub-group in a personal capacity. If there is any change concerning the information provided in the form, including on upcoming activities, you must promptly inform the competent European Commission departments by completing a new DOI form which describes the changes in question.

Please note that having a declared interest does not necessarily mean having a conflict of interest. Answering "Yes" to a question on this DOI form does not automatically disqualify you or limit your participation in an expert group or sub-group. The competent European Commission departments will review your answers and determine whether a conflict of interest relevant to the subject at hand exists.

Where the competent European Commission departments conclude that no conflict of interest exists, you are eligible to be appointed in a personal capacity. Where the competent European Commission departments conclude that your interests may compromise or be reasonably perceived to compromise your capacity to act independently and in the public interest when providing advice to the European Commission in relation to the subject of the work performed by the expert group or sub-group in question, they shall take one of the following measures to deal with the conflict of interest detected, depending on the specific circumstances:

- You shall not be appointed in a personal capacity to the expert group or sub-group in question; in such case the competent European Commission department shall inform you about the outcome of the conflict of interest assessment performed;
- You shall be appointed as member of the expert group or sub-group in a personal capacity with a number of specific restrictions: you may be excluded from certain meetings and/or activities carried out by the group, such as drafting opinions or recommendations; you may also be required to abstain from discussing certain agenda items and/or from any vote on those items.

Your DOI form shall be made publicly available as long as you are appointed as member of the Performance Review Body. Technical measures will be taken to indicate to search engines that your DOI form should not appear in search results.

If you decline to complete a DOI form, you are not eligible to be appointed as a member of the expert group or sub-group in question in a personal capacity.

Personal data shall be collected, processed and published by the European Commission in accordance with Regulation (EC) No 45/2001.